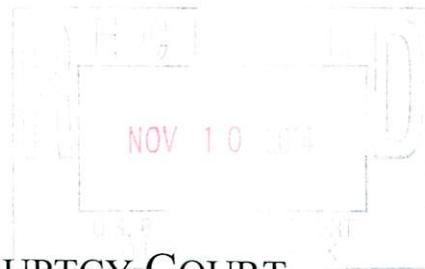


B 210A (Form 210A) (12/09)



## UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Lehman Brothers Holdings, Inc.,

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Reichmuth & Co Privatbankiers

Name of Transferee

Name and Address where notices to transferee should be sent:

Reichmuth & Co Privatbankiers  
Ruetligasse 1, CH-6000 Luzern 7

Phone: +41 41 249 49 49

Last Four Digits of Acct #: 2003

Name and Address where transferee payments should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

Bank J. Safra Sarasin Ltd

Name of Transferor

Court Claim # (if known): 60415

Amount of Claim: 6500 Units

Date Claim Filed: 10/29/2009

Phone: +41 58 317 44 44

Last Four Digits of Acct #: 6000

**REICHMUTH & CO**

RUETLIGASSE 1 CH-6000 LUCERNE 7 SWITZERLAND  
PHONE +41 41 249 49 29 FAX +41 41 249 49 39

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_  
Transferee/Transferee's Agent

Date: 11/04/2014

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*



J. SAFRA SARASIN



Sustainable Swiss Private Banking since 1841

NOV 10 2014

EVIDENCE OF TRANSFER OF CLAIM

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, **Bank J. Safra Sarasin Ltd** ("Transferor") unconditionally and irrevocably transferred to **Reichmuth & Co Privatbankiers**, Luzern, Switzerland ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 60415) in the amount of 6'500 pieces/units related to the securities with International Securities Identification Numbers listed on Schedule 1 hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED this May 22, 2014.

Bank J. Safra Sarasin Ltd

Patrick Gribi  
Executive Director

Frank Link  
Vice President



J. SAFRA SARASIN



Sustainable Swiss Private Banking since 1841

SCHEDULE 1

Lehman Programs Securities Related to Transferred Portion of Claim:

ISIN	Court Claim	Date Claim Filed	Issuer	Nominal Amount/Quantity of Claim related to Security to be transferred
XS0279493398	60415	October 29, 2009	Lehman Brothers Treasury Co. B.V.	6'500 out of 634'000